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| Form PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DKT. NO. 843.42990X00 | SERIAL NO. |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | APPLICANT MASUOKA, et al. | |
| | | FILING DATE July 30, 2003 | GROUP |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date |
|------------------|-----------------|------------|-------------------|-------|----------|-------------|
| /MM/ | AA 5,948,055 | 09/07/1999 | PULSIPHER, et al. | 709 | 202 | 08/29/1996 |
| /MM/ | AB 5,787,252 | 07/28/1998 | SCHETTLER, et al. | 195 | 200.54 | 11/01/1995 |
| | AC | | | | | |
| | AD | | | | | |
| | AE | | | | | |
| | AF | | | | | |
| | AG | | | | | |
| | AH | | | | | |
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| | AL | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Country | Class | Subclass | Translation | |
|------------------|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | Yes | No |
| | AM | | | | | | |
| | AN | | | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| /MM/ | AU | Basel Committee on Banking Supervision, "Working Paper on the Regulatory Treatment of Operational Risk", September 2001 | | | | | |
| /MM/ | AV | English language translation of "All About Operational Risk", Society for the Study of Operational Risk of Mitsubishi Trust and Banking Corporation, TOYO KEIZAI Inc., March 2002, Pgs. 108-112, 133-134 | | | | | |
| /MM/ | AW | W. Richard Stevens, "UNIX Network Programming, Prentice-Hall", Pgs. 171-196 | | | | | |
| | AX | | | | | | |
| | AY | | | | | | |
| | AZ | | | | | | |
| Examiner /Michael Meucci/ | | | | Date Considered 05/08/2007 | | | |